



# Uniqueness of the Rayleigh Wave Speed

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**Abstract:** A simple proof is presented to show that the Rayleigh equation has a unique root in the interval (0,1).

**Keywords.** Rayleigh wave, Rayleigh equation, uniqueness of solution

## 1. INTRODUCTION

Rayleigh wave plays an important role in seismic phenomena. A Rayleigh wave is a surface wave in the sense that the amplitude is significant near the surface and decays exponentially as we go down the earth. Rayleigh discussed the theory of this wave in [1] and derived the following "Rayleigh Equation"

$$\left(2 - \frac{c^2}{c_T^2}\right)^2 = 4 \sqrt{1 - \frac{c^2}{c_T^2}} \sqrt{1 - \frac{c^2}{c_L^2}}, \quad (1)$$

where  $c_L$ ,  $c_T$  respectively denote phase speeds of the longitudinal wave (or P wave) and the transverse wave (or S wave) in the medium. The phase speed  $c$  of the Rayleigh wave is to be determined from Eq. (1). The equation possesses an unphysical root  $c = 0$ . Since  $c_T < c_L$ , it is clear that, for a meaningful theory, a root of Eq. (1) must exist in the interval  $(0, c_T)$ . Let  $x = c^2 / c_T^2$ ,  $b = (c_T / c_L)^2$  and define

$$f(x) := (2 - x)^2 - 4\sqrt{1-x}\sqrt{1-bx}. \quad (2)$$

To first order in  $\varepsilon > 0$ ,  $f(\varepsilon) = -2\varepsilon(1-b)$ , which is negative since  $0 < b < 1$ . Also  $f(1) = 1$ . Hence  $f(x)$  possesses a zero, say  $x_1$ , in the interval  $(0,1)$ . A physically important question arises whether this is the only real zero in this interval. In the classical text-books on the subject [2-6], this problem is treated in the following manner.

By squaring both sides of (1), rearranging terms and canceling a factor  $c^2 / c_T^2$  we get a cubic in  $x = c^2 / c_T^2$ ,

$$x^3 - 8x^2 + (24 - 16b)x - 16(1-b) = 0. \quad (3)$$

Let us define the left side of (3) as  $g(x)$ . It is clear that any zero of  $f$  other than  $x = 0$ , will be a zero of  $g$  but the converse may not be true. The discriminant of (3) is

$$256(64b^3 - 107b^2 + 62b - 11). \quad (4)$$

Eq. (3) will have all three roots real if the discriminant (4) is non-negative. This happens if  $b \geq 0.3215$ . Let  $x_2, x_3$  be the roots of (3) other than  $x_1$ . Since  $0 < x_1 < 1$ , it is clear that

$$7 < x_2 + x_3 < 8, \quad (5)$$

also  $x_2 x_3 > 16(1-b)$ . Theoretical bounds for  $b$  are  $0 < b < 1$ , however for all known materials  $b < 1/2$ . In this case we have

$$x_2 x_3 > 8. \quad (6)$$

From (5) and (6) it follows that each of  $x_2$  and  $x_3$  is greater than 1, hence  $x_1$  is the only real root in  $(0,1)$ . On the other hand if  $0 < b < 0.3215$ ,  $x_2, x_3$  will be a pair of complex conjugate roots, leaving  $x_1$  as the only real root of the equation.

The above proof has the drawback of not being valid for  $1/2 \leq b < 1$ . Achenbach [7] dealt with this problem by defining a function  $R(s)$  of a complex variable  $s$ ,

$$R(s) = (2s^2 - s_T^2)^2 + 4s^2(s_L^2 - s^2)^{\frac{1}{2}}(s_T^2 - s^2)^2 \quad (7)$$

where

$$s = \frac{1}{c}, s_L = \frac{1}{c_L}, s_T = \frac{1}{c_T},$$

and considering zeros of the function by applying the argument principle. However Achenbach's proof is beyond comprehension of most undergraduate students.

The quest for a formula for the Rayleigh wave speed continues in the modern times [8-11]. For example, Vinh and Ogden [10] have the question of uniqueness of the root of (3) in  $(0,1)$  treated by considering zeros of

$$g'(x) = 3x^2 - 16x + 8(3 - 2b). \quad (8)$$

If  $b > 1/6$ ,  $g'(x)$  has two distinct zeros denoted by  $l$  and  $m$  such that

$$lm = 8(3 - 2b)/3 > 8/3,$$

since  $0 < b < 1$ . Hence

$$0 < l < 1 < m \text{ or } 1 \leq l < m. \quad (9)$$

Vinh and Ogden [10] concluded from (9) that uniqueness of solution of Eq. (3) in the interval  $(0,1)$  is ensured. However it appears that the option  $0 < l < 1 < m$  does not justify this conclusion, because the curve  $y = g(x)$  may attain a local maximum at  $l$  and still cross the  $x$ -axis at a point before  $x = 1$ .

In this article, we shall present a short and simple proof of the uniqueness of the real root of the Rayleigh equation which is valid for  $0 < b < 1$ . Basic idea of this proof may be stated in just one sentence, i.e., "Two real roots in  $(0,1)$  imply all three roots in this interval, which is impossible."

## 2. UNIQUENESS OF REAL ROOT

Since  $g(0) = -16(1 - b) < 0$  and  $g(1) = 1 > 0$ , it follows that  $g$  has a real zero,  $x_1$ , in  $(0,1)$ . Denote zeros of  $g$  by  $x_1, x_2$  and  $x_3$ . Assume

that the first two zeros are real and both lie in  $(0,1)$ . Then the third zero will also be real. We will show that the assumption of two zeros being in the interval  $(0,1)$  implies that the third zero will also be in the same interval. There are three possibilities.

1. All zeros are distinct. Then  $g(x_1) > 0$ ,  $g(x_2) < 0$ . Since  $g(1) > 0$ , it follows there must be a zero of  $g$  in  $(x_2, 1)$ . This must be  $x_3$ .
2. One of  $x_1, x_2$  is a simple zero while the other has multiplicity two.
3.  $x_1$  has multiplicity three.

In each case, all three zeros lie in  $(0,1)$ , consequently  $x_1 + x_2 + x_3 < 3$  which is false because from (3) this sum must be 8. This contradiction proves that  $x_1$  is the unique zero of  $g$  in  $(0,1)$ . Since  $f$  has a zero in  $(0,1)$  which must be a zero of  $g$ , because of the above uniqueness,  $x_1$  must be the only zero of  $f$  in  $(0,1)$ . Hence Rayleigh equation has a unique root such that  $0 < c < c_T$ .

Applying the above argument to a polynomial equation of degree 3, we have the following

**Theorem.** *Let the equation*

$$a_0 z^3 + a_1 z^2 + a_2 z + a_3 = 0, \quad a_0 > 0, a_1 \neq 0,$$

*be such that  $f(0)f(-a_1/(3a_0)) < 0$ , then two roots of the equation, real or complex, lie in the half plane  $\operatorname{Re} z \geq -a_1/(3a_0)$ , if  $a_1 < 0$  or  $\operatorname{Re} z \leq -a_1/(3a_0)$ , if  $a_1 > 0$ .*

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